



107032018001954

**SECURITIES AND EXCHANGE COMMISSION**

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines
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Company Information

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Company Name STA. LUCIA LAND, INC.

Industry Classification Real Estate Activities

Company Type Stock Corporation

Document Information

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SECRETARY'S CERTIFICATE

I, **PATRICIA A. O. BUNYE**, Filipino, of legal age, and with office address at One Orion, 11th Avenue corner University Parkway, Bonifacio Global City 1634, Metro Manila, after having been duly sworn in accordance with law, hereby certify:

1. I am the Corporate Secretary of **STA. LUCIA LAND, INC.** (the "Corporation"), a corporation duly organized and existing under the laws of the Republic of the Philippines, with office address at Penthouse Bldg. 3, Sta. Lucia Mall, Marcos Highway cor. Imelda Avenue, Cainta, Rizal.

2. The information in the 2018 General Information Sheet compact disk contains the exact data stated in the hard copies of the said document.

3. This certificate is issued to attest to the truth of the foregoing statements and for whatever legal purpose it may serve.

IN WITNESS WHEREOF, I have hereunto set my hand this 02 JUL 2018 in Taguig City, Metro Manila.


PATRICIA A. O. BUNYE
Corporate Secretary

SUBSCRIBED AND SWORN to before me this 02 JUL 2018, affiant exhibiting and presenting to me competent evidence of her identity, Unified Multi-Purpose No. CRN 0111-7602634-4, bearing her photograph and signature, issued by the Social Security System.

Doc. No. 216 ;
Page No. 45 ;
Book No. 111 ;
Series of 2018.




REGINE ANDREI P. ALCANTARA
Notary Public

Taguig City, Appointment No. 99 (2017-2018)
Until December 31, 2018, Roll No. 64143
IBP No. 022654, 01/03/18, Bulacan
PTR. No. A-3694857, 01/03/18, Taguig City
MCLE Compliance No. V-0017179, 03/28/16
12th Floor, One Orion, 11th Avenue corner
University Parkway, Bonifacio Global City
Taguig City, Metro Manila, Philippines

GENERAL INFORMATION SHEET

FOR THE YEAR **2018**

STOCK CORPORATION

GENERAL INSTRUCTION:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

=====PLEASE PRINT LEGIBLY=====

| | | |
|---|--|---|
| CORPORATE NAME: STA. LUCIA LAND, INC. | | DATE REGISTERED: 06 December 1966 [Corporate term extended for fifty (50) years from 05 December 2016] |
| BUSINESS/TRADE NAME: STA. LUCIA LAND, INC. | | FISCAL YEAR END: December 31 |
| SEC REGISTRATION NUMBER: 31050 | | CORPORATE TAX IDENTIFICATION NUMBER (TIN) 000-152-291 |
| DATE OF ANNUAL MEETING PER BY-LAWS: Third Friday of June | | WEBSITE/URL ADDRESS: www.stalucialand.com.ph |
| ACTUAL DATE OF ANNUAL MEETING: 21 June 2018 | | E-MAIL ADDRESS: info@stalucialand.com.ph |
| COMPLETE PRINCIPAL OFFICE ADDRESS: Penthouse Bldg. 3, Sta. Lucia Mall, Marcos Highway cor. Imelda Avenue, Cainta, Rizal | | FAX NUMBER: 681-7467 |
| COMPLETE BUSINESS ADDRESS: Penthouse Bldg. 3, Sta. Lucia Mall, Marcos Highway cor. Imelda Avenue, Cainta, Rizal | | TELEPHONE NUMBER(S): 681-7332 |
| NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Sycip Gorres & Velayo (SGV & Co.)/ Michael C. Sabado | SEC ACCREDITATION NUMBER (if applicable) 1229-AR-1 (Group A) | GEOGRAPHICAL CODE: N/A |
| PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGE IN: Real Estate Company | INDUSTRY CLASSIFICATION: Real Estate | |

=====INTERCOMPANY AFFILIATIONS=====

| PARENT COMPANY | SEC REGISTRATION NO. | ADDRESS |
|--|----------------------|---|
| Sta. Lucia Realty & Development, Inc. | 44710 | G/F State Financing Center Building Ortigas Center, Pasig City, Metro Manila |
| SUBSIDIARY/AFFILIATE | SEC REGISTRATION NO. | ADDRESS |
| Sta. Lucia Homes, Inc. | CS201301975 | Penthouse Bldg. 3, Sta. Lucia Mall, Marcos Highway cor. Imelda Avenue, Cainta, Rizal |
| SantaLucia Ventures, Inc. | CS201305924 | Penthouse Bldg. 3, Sta. Lucia Mall, Marcos Highway cor. Imelda Avenue, Cainta, Rizal |

NOTE : USE ADDITIONAL SHEET IF NECESSARY.

GENERAL INFORMATION SHEET

STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

Corporate Name:

STA. LUCIA LAND, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

Yes

☐

No

☒

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Association
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electron Money Issuers

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stones

2.

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-Need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the above

Describe nature of business:

Real Estate

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLs, as amended, since the last filing of its GIS?

Yes

☐

No

☒

GENERAL INFORMATION SHEET

STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

STA. LUCIA LAND, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

| | TYPE OF SHARES* | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (Php) (No. of shares x Par/Stated Value) |
|-------|-----------------|-----------------------|------------------|--|
| | Common | 16,000,000,000 | P1.00 | 16,000,000,000.00 |
| | | | | |
| | | | | |
| TOTAL | | 16,000,000,000 | TOTAL P | 16,000,000,000.00 |

SUBSCRIBED CAPITAL

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES* | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC** | PAR/STATED VALUE | AMOUNT (Php) | % OF OWNER-SHIP |
|----------|----------------------|-----------------|----------------------|---|------------------|-------------------------|-----------------|
| | 263 | Common | 8,943,698,999 | | P1.00 | 8,943,698,999.00 | 99.97% |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| TOTAL | | | 8,943,698,999 | TOTAL P | | 8,943,698,999.00 | 99.97% |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES* | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC** | PAR/STATED VALUE | AMOUNT (Php) | % OF OWNER-SHIP |
|--------------------------------------|----------------------|-----------------|------------------|---|------------------|---------------------|-----------------|
| | 1 | Common | 2,751,001 | | P1.00 | 2,751,001.00 | 0.03% |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Percentage of Foreign Equity: TOTAL **2,751,001** TOTAL P **2,751,001.00** **0.03%**

TOTAL SUBSCRIBED P **8,946,450,000.00** **100%**

PAID-UP CAPITAL

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES* | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (Php) | % OF OWNER-SHIP |
|--------------------------------------|----------------------|-----------------|----------------------|------------------|-------------------------|---------------------------------------|
| | 263 | Common | 8,943,698,999 | P1.00 | 8,943,698,999.00 | 99.97% |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| TOTAL | | | 8,943,698,999 | TOTAL P | | 8,943,698,999.00 99.97% |
| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES* | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (Php) | % OF OWNER-SHIP |
| | 1 | Common | 2,751,001 | P1.00 | 2,751,001.00 | 0.03% |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| TOTAL | | | 2,751,001 | TOTAL P | | 2,751,001.00 0.03% |
| TOTAL PAID-UP P | | | | | 8,946,450,000.00 | 100% |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

(STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

STA. LUCIA LAND, INC.

DIRECTORS/OFFICERS

| NAME AND NATIONALITY | INC'R | BOARD | SEX | STOCK HOLDER | OFFICER | EXEC. COMM. |
|---|-------|-------|-----|-----------------|---|--------------------------------------|
| 1. VICENTE R. SANTOS Filipino | N | C | M | Y | Chairman | E/M A/M CG/M BRO/M RPT/M |
| 2. EXEQUEL D. ROBLES Filipino | N | M | M | Y | President | E/C |
| 3. DAVID M. DELA CRUZ Filipino | N | N | M | N | Executive Vice President /CFO/Chief Risk Officer | |
| 4. MARIZA SANTOS-TAN Filipino | N | M | F | Y | Treasurer | E/M |
| 5. AURORA D. ROBLES Filipino | N | M | F | Y | Assistant Treasurer | E/M |
| 6. ANTONIO D. ROBLES Filipino | N | M | M | Y | N/A | E/M |
| 7. SIMEON S. CUA Filipino | N | M | M | Y | N/A | |
| 8. JOSE FERDINAND R. GUIANG Filipino | N | I | M | Y | Independent Director | A/M CG/C BRO/M RPT/C |
| 9. OSMUNDO C. DE GUZMAN, JR. Filipino | N | I | M | Y | Independent Director | A/C CG/M BRO/C RPT/M |
| 10. ORESTES R. SANTOS Filipino | N | M | M | Y | N/A | CG/M |
| 11. JEREMIAH T. PAMPOLINA Filipino | N | N | M | N | Compliance Officer | |
| 12. ACE FRANZIZ D. CUNTAPAY Filipino | N | N | M | N | Internal Auditor | |
| 13. PATRICIA A. O. BUNYE Filipino | N | N | F | N | Corporate Secretary | |
| 14. CRYSTAL I. PRADO Filipino | N | N | F | N | Assistant Corporate Secretary | |
| 15. PANCHO G. UMALI Filipino | N | N | M | N | Assistant Corporate Secretary | |
| | | | | | | |

INSTRUCTIONS:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT.
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY,
 COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 INDICATE "E" IF MEMBER OF THE EXECUTIVE COMMITTEE, "A" IF MEMBER OF THE AUDIT COMMITTEE; "CG" FOR CORPORATE GOVERNANCE COMMITTEE;
 "BRO" FOR BOARD RISK OVERSIGHT COMMITTEE, "RPT" FOR RELATED PARTY TRANSACTIONS COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF
 CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

STA. LUCIA LAND, INC.

TOTAL NUMBER OF STOCKHOLDERS:

Two Hundred Sixty-Four (264)

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

Two Hundred Fifty-Seven (257)

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

Php29,807,013,236 (as of 31 December 2017)

STOCKHOLDER'S INFORMATION

| NAME AND NATIONALITY | SHARES SUBSCRIBED | | | % OF OWNER-SHIP | AMOUNT PAID (PhP) |
|---|-------------------|---------------|-------------------------------------|-----------------|-------------------------|
| | TYPE | NUMBER | AMOUNT (PhP) | | |
| 1. STA. LUCIA REALTY & DEVELOPMENT INC. Filipino | Common | 7,451,005,767 | 7,451,005,767.00 | 82.3639% | 7,451,005,767.00 |
| | | | | | |
| | TOTAL | 7,451,005,767 | 7,451,005,767.00 | | |
| 2. PCD NOMINEE CORPORATION Filipino | Common | 1,464,384,606 | 1,464,384,606.00 | 17.29% | 1,464,384,606.00 |
| | | | | | |
| | TOTAL | 1,464,384,606 | 1,464,384,606.00 | | |
| 3. BERNARD D. LUGOD Filipino | Common | 10,000,000 | 10,000,000.00 | 0.1105% | 10,000,000.00 |
| | | | | | |
| | TOTAL | 10,000,000 | 10,000,000.00 | | |
| 4. THOMAS EDWIN M. DELA CRUZ Filipino | Common | 10,000,000 | 10,000,000.00 | 0.1105% | 10,000,000.00 |
| | | | | | |
| | TOTAL | 10,000,000 | 10,000,000.00 | | |
| 5. PCD NOMINEE CORPORATION Non-Filipino | Common | 2,751,001 | 2,751,001.00 | 0.03% | 2,751,001.00 |
| | | | | | |
| | TOTAL | 2,751,001 | 2,751,001.00 | | |
| 6. CITISECURITIES, INC. Filipino | Common | 3,250,000 | 3,250,000.00 | 0.0359% | 3,250,000.00 |
| | | | | | |
| | TOTAL | 3,250,000 | 3,250,000.00 | | |
| 7. EBE CAPITAL HOLDINGS, INC. Filipino | Common | 1,535,000 | 1,535,000.00 | 0.0170% | 1,535,000.00 |
| | | | | | |
| | TOTAL | 1,535,000 | 1,535,000.00 | | |
| 8. EXEQUIEL ROBLES Filipino | Common | 712,500 | 712,500.00 | 0.0079% | 712,500.00 |
| | | | | | |
| | TOTAL | 712,500 | 712,500.00 | | |
| 9. VICENTE SANTOS Filipino | Common | 712,494 | 712,494.00 | 0.0079% | 712,494.00 |
| | | | | | |
| | TOTAL | 712,494 | 712,494.00 | | |
| 10. JULIE H. LIMTONG Filipino | Common | 400,000 | 400,000.00 | 0.0044% | 400,000.00 |
| | | | | | |
| | TOTAL | 400,000 | 400,000.00 | | |
| 11. FRANCISCO ORTIGAS SEC., INC. Filipino | Common | 365,000 | 365,000.00 | 0.0040% | 365,000.00 |
| | | | | | |
| | TOTAL | 365,000 | 365,000.00 | | |
| SUB-TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 8,945,116,368.00 | 99.9852% | |
| | | | SUB-TOTAL AMOUNT OF PAID-UP CAPITAL | | 8,945,116,368.00 |

INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

STA. LUCIA LAND, INC.

TOTAL NUMBER OF STOCKHOLDERS:

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

Two Hundred Sixty-Four (264)

Two Hundred Fifty-Seven (257)

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

Php29,807,013,236 (as of 31 December 2017)

STOCKHOLDER'S INFORMATION

| NAME AND NATIONALITY | SHARES SUBSCRIBED | | | % OF OWNER-SHIP | AMOUNT PAID (Php) |
|--|-------------------|---------|------------------|-----------------|-------------------|
| | TYPE | NUMBER | AMOUNT (Php) | | |
| 12. PEDRO O. TAN Filipino | Common | 278,050 | 278,050.00 | 0.0031% | 278,050.00 |
| | | | | | |
| | TOTAL | 278,050 | 278,050.00 | | |
| 13. ASA COLOR & CHEMICAL INDUSTRIES, INC. Filipino | Common | 182,774 | 182,774.00 | 0.0020% | 182,774.00 |
| | | | | | |
| | TOTAL | 182,774 | 182,774.00 | | |
| 14. G & L SECURITIES CO., INC. Filipino | Common | 70,000 | 70,000.00 | 0.0008% | 70,000.00 |
| | | | | | |
| | TOTAL | 70,000 | 70,000.00 | | |
| 15. AMBROSIO VALDEZ &/OR FELISA VALDEZ Filipino | Common | 50,000 | 50,000.00 | 0.0006% | 50,000.00 |
| | | | | | |
| | TOTAL | 50,000 | 50,000.00 | | |
| 16. ANTHONY FRANCIS H. LIMTONG Filipino | Common | 40,000 | 40,000.00 | 0.0004% | 40,000.00 |
| | | | | | |
| | TOTAL | 40,000 | 40,000.00 | | |
| 17. GAIL MAUREEN H. LIMTONG Filipino | Common | 40,000 | 40,000.00 | 0.0004% | 40,000.00 |
| | | | | | |
| | TOTAL | 40,000 | 40,000.00 | | |
| 18. HARRY JAMES H. LIMTONG Filipino | Common | 40,000 | 40,000.00 | 0.0004% | 40,000.00 |
| | | | | | |
| | TOTAL | 40,000 | 40,000.00 | | |
| 19. JOHN PATRICK H. LIMTONG Filipino | Common | 40,000 | 40,000.00 | 0.0004% | 40,000.00 |
| | | | | | |
| | TOTAL | 40,000 | 40,000.00 | | |
| 20. JULIE ANN KRISHA H. LIMTONG Filipino | Common | 40,000 | 40,000.00 | 0.0004% | 40,000.00 |
| | | | | | |
| | TOTAL | 40,000 | 40,000.00 | | |
| 21. SUN HUNG KAI SECURITIES (PHILS.), INC. Filipino | Common | 30,000 | 30,000.00 | 0.0003% | 30,000.00 |
| | | | | | |
| | TOTAL | 30,000 | 30,000.00 | | |
| 22. OTHERS | Common | 522,808 | 522,808.00 | 0.0058% | 522,808.00 |
| | | | | | |
| | TOTAL | 522,808 | 522,808.00 | | |
| SUB-TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 1,333,632.00 | 0.0146% | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 8,946,450,000.00 | 100% | |
| SUB-TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | 1,333,632.00 |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | 8,946,450,000.00 |

INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.

GENERAL INFORMATION SHEET

(STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

STA. LUCIA LAND, INC.

| | | |
|--|--------------|--------------------------|
| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION | AMOUNT (PhP) | DATE OF BOARD RESOLUTION |
| 1.1 STOCKS | N/A | N/A |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations) | N/A | N/A |
| 1.3 LOANS/CREDITS/ADVANCES | N/A | N/A |
| 1.4 GOVERNMENT TREASURY BILLS | N/A | N/A |
| 1.5 OTHERS | N/A | N/A |

| | | |
|---|--------------------------|------------------------------------|
| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY): | DATE OF BOARD RESOLUTION | DATE OF STOCKHOLDERS' RATIFICATION |
| | N/A | N/A |

| | | |
|--------------------|---------------|--|
| 3. TREASURY SHARES | NO. OF SHARES | % AS TO THE TOTAL NO. OF SHARES ISSUED |
| | 1,850,000,000 | 17.14% |

4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: **Php3,461,949,860**

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR : **N/A**

| | | |
|-------------------|--------------|---------------|
| TYPE OF DIVIDENDS | AMOUNT (PhP) | DATE DECLARED |
| 5.1 CASH | N/A | N/A |
| 5.2 STOCK | N/A | N/A |
| 5.3 PROPERTY | N/A | N/A |
| TOTAL P | N/A | N/A |

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: **N/A**

| | | |
|------|---------------|--------|
| DATE | NO. OF SHARES | AMOUNT |
| N/A | N/A | N/A |
| | | |
| | | |
| | | |

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:

| | | | |
|--------------------------|--|-----|-----|
| NAME OF AGENCY: | SEC | BSP | IC |
| TYPE OF LICENSE/REGN. | Permit to Offer Securities for Sale | N/A | N/A |
| DATE ISSUED: | 19 July 1974 and 30 August 1991 | N/A | N/A |
| DATE STARTED OPERATIONS: | 06 December 1966 | N/A | N/A |

| | | | |
|--|-----------------------|------------------------------------|---------------------------|
| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP) | TOTAL NO. OF OFFICERS | TOTAL NO. OF RANK & FILE EMPLOYEES | TOTAL MANPOWER COMPLEMENT |
| Php11,520,000.00 | 22 | 81 | 103 |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **PATRICIA A. O. BUNYE**, CORPORATE SECRETARY OF THE ABOVE-MENTIONED CORPORATION, DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET, WHICH CONSISTING OF SEVEN (7) PAGES, INCLUDING 1-A, HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS/TRUSTEES AND OFFICERS OF THE CORPORATION HAD CONSENTED TO BE NOMINATED AND ELECTED.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF ITS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING ON THE SAID REVOCATION.

DONE THIS 02 JUL 2018 IN TAGUIG CITY, METRO MANILA.

(SIGNATURE)

02 JUL 2018

SUBSCRIBED AND SWORN TO BEFORE ME THIS _____ IN TAGUIG CITY, PHILIPPINES, AFFIANT EXHIBITING AND PRESENTING TO ME COMPETENT EVIDENCE OF HER IDENTITY, UNIFIED MULTI-PURPOSE ID NO. CRN 0111-7602634-4 ISSUED BY THE SOCIAL SECURITY SYSTEM.

REGINE ANDREI P. ALCANTARA
Notary Public

Taguig City, Appointment No. 99 (2017-2018)
Until December 31, 2018, Roll No. 64143
IBP No. 022654, 01/03/18, Bulacan
PTR. No. A-3694857, 01/03/18, Taguig City
MCLE Compliance No. V-0017179, 03/28/16
12th Floor, One Orion, 11th Avenue corner
University Parkway, Bonifacio Global City
Taguig City, Metro Manila, Philippines

DOC. NO. 215 :
PAGE NO. 44 :
BOOK NO. 111 :
SERIES OF 2018. 1



GENERAL INFORMATION SHEET

STOCK CORPORATION

NOT FOR UPLOADING

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

STA. LUCIA LAND, INC.

LIST OF STOCKHOLDER, DIRECTORS AND OFFICERS

TAX IDENTIFICATION NO. (TIN) INFORMATION

| NAME AND CURRENT RESIDENTIAL ADDRESS | NATIONALITY | POSITION | TIN |
|---|-------------|--|-------------|
| 1. VICENTE R. SANTOS J9-310 Evangelista Street, Santolan Pasig City, Metro Manila | Filipino | S/C | 155-810-416 |
| 2. EXEQUIEL D. ROBLES F. Pasco Avenue, Dumandan Compound Santolan, Pasig City, Metro Manila | Filipino | S/President | 139-154-445 |
| 3. DAVID M. DELA CRUZ # 31 La Naval Street, Remmanville Subdivision Better Living, Parañaque City | Filipino | Executive Vice President/CFO/ Chief Risk Officer | 110-183-747 |
| 4. MARIZA SANTOS-TAN G/F State Centre II, Ortigas Avenue Mandaluyong City, Metro Manila | Filipino | S/M/Treasurer | 139-154-574 |
| 5. AURORA D. ROBLES The Alexandra Condominiums, Meralco Avenue Pasig City, Metro Manila | Filipino | S/M/Assistant Treasurer | 139-154-639 |
| 6. ANTONIO D. ROBLES # 53 Achilles corner Odyssey Street, Acropolis Green Subdivision, Libis, Quezon City, Metro Manila | Filipino | S/M | 139-154-420 |
| 7. SIMEON CUA 1765 P.M. Guazon St., Paco Manila 1007 | Filipino | S/M | 100-821-526 |
| 8. JOSE FERDINAND R. GUIANG 71 K6th St., East Kamias Quezon City | Filipino | S/I | 100-242-310 |
| 9. OSMUNDO C. DE GUZMAN, JR. 43 Walnut St., New Marikina Subdivision San Roque, Marikina City | Filipino | S/I | 130-857-709 |
| 10. ORESTES R. SANTOS Odyssey Street, Acropolis, Quezon City, Metro Manila | Filipino | S/M | 211-122-663 |
| 11. JEREMIAH T. PAMPOLINA 67C J.P. Rizal Street, Project 4, Quezon City | Filipino | Compliance Officer | 204-009-034 |
| 12. ACE FRANZIZ D. CUNTAPAY Unit 3020, GA Tower 2, EDSA, Mandaluyong City | Filipino | Internal Auditor | 453-531-113 |
| 13. PATRICIA A. O. BUNYE One Orion, 11 th Avenue corner University Parkway, Bonifacio Global City, 1634 Metro Manila | Filipino | Corporate Secretary | 169-061-152 |
| 14. CRYSTAL I. PRADO N409, 4F Phase 4, El Pueblo Condominium, No. 44 King Christian Street, Kingspoint Subdivision Bagbag, Novaliches, Quezon City, Metro Manila | Filipino | Assistant Corporate Secretary | 256-969-415 |
| 15. PANCHO G. UMALI One Orion, 11 th Avenue corner University Parkway Bonifacio Global City, 1634 Metro Manila | Filipino | Assistant Corporate Secretary | 179-255-389 |

INSTRUCTION:

FOR POSITION COLUMN, PUT THE FOLLOWING IF APPLICABLE:

"C" for Chairman, "M" for Member, "I" for Independent Director; and/or

Indicate the particular position if Officer (e.g. President, Treasurer, Corporate Secretary, etc.); and/or

"S" for Stockholder

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|---|--------------|----------|----------------------------|
| 16. STA. LUCIA REALTY & DEVELOPMENT, INC. G/F State Financing Center Building Ortigas Center, Pasig City | Filipino | S | 000-863-895 |
| 17. PCD NOMINEE CORPORATION G/F MKSE Building, 6767 Ayala Ave. Makati City, Metro Manila | Filipino | S | 004-774-849 |
| 18. BERNARD D. LUGOD 102 Marcos Highway, Brgy. Mayamot, Antipolo City | Filipino | S | 156-140-631 |
| 19. DELA CRUZ, THOMAS EDWIN M. 1711 Parc Royale Julia Vargas Ave. Ortigas Center, Pasig City, Metro Manila | Filipino | S | 926-342-282 |
| 20. PCD NOMINEE CORPORATION G/F MKSE Building, 6767 Ayala Ave. Makati City, Metro Manila | Non-Filipino | S | 004-774-849 |
| 21. CITISECURITIES, INC. 27/F Tektite Tower I, Exchange Road Pasig City, Metro Manila | Filipino | S | 000-322-268 |
| 22. EBE CAPITAL HOLDINGS, INC. 18/F Pearlbank Center, Valero Street, Salcedo Village Makati City, Metro Manila | Filipino | S | 004-663-735 |
| 23. JULIE H. LIMTONG 2356 J. A. Santos Drive, Baclaran, Parañaque City, Metro Manila | Filipino | S | 200-306-569 |
| 24. FRANCISCO ORTIGAS, SEC., INC. 10 TH Floor, Ortigas Building, Ortigas Avenue, Pasig City, Metro Manila | Filipino | S | 283-304-000 |
| 25. PEDRO O. TAN 8120 Lumbayao Street Makati City, Metro Manila | Filipino | S | 127-189-392 |
| 26. ASA COLOR AND CHEMICAL INDUSTRIES, INC. S-309 Prince Jun Condominium, 42 Timog Avenue | Filipino | S | 301-000-280-895 |
| 27. G & I SECURITIES CO., INC. 803 State Financing Center, Ortigas Avenue 1550 Mandaluyong City | Filipino | S | 000-055-839 |
| 28. AMBROSIO VALDEZ and/or FELIZA VALDEZ Patts College of Aeronautics Domestic Airport Road, Pasay City | Filipino | S | 148-077-825 148-077-833 |
| 29. ANTHONY FRANCIS H. LIMTONG 2356 J. A. Santos Drive, Baclaran, Parañaque City, Metro Manila | Filipino | S | 175-308-409 |
| 30. GAIL MAUREEN H. LIMTONG 2356 J. A. Santos Drive, Baclaran, Parañaque City, Metro Manila | Filipino | S | xx |

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