

<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">   <b>AUG 26 2020</b>  <small>BY * ICTD</small> </div> <div style="text-align: center; flex-grow: 1;"> <h2 style="margin: 0;">GENERAL INFORMATION SHEET (GIS)</h2> <h3 style="margin: 0;">FOR THE YEAR 2020</h3> <h3 style="margin: 0;">STOCK CORPORATION</h3> </div> <div style="text-align: center;">   <b>AUG 25 2020</b>  <small>BY * ICTD</small> </div> </div>		
<b>GENERAL INSTRUCTIONS:</b>		
<ol style="list-style-type: none"> <li>1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N/A" IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.</li> <li>2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.</li> <li>3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.</li> <li>4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.</li> <li>5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE.</li> <li>6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.</li> <li>7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.</li> </ol>		
=====PLEASE PRINT LEGIBLY=====		
CORPORATE NAME:	DATE REGISTERED:	
<b>STA. LUCIA LAND, INC.</b>	<b>06 December 1966</b>	
BUSINESS/TRADE NAME:		
<b>STA. LUCIA LAND, INC.</b>		
SEC REGISTRATION NUMBER:	FISCAL YEAR END:	
<b>31050</b>	<b>31 December</b>	
DATE OF ANNUAL MEETING PER BY-LAWS:	CORPORATE TAX IDENTIFICATION NUMBER (TIN)	
<b>Third Friday of June</b>	<b>000-152-291</b>	
ACTUAL DATE OF ANNUAL MEETING:	WEBSITE/URL ADDRESS:	
<b>20 August 2020</b>	<b><a href="http://www.stalucialand.com.ph">www.stalucialand.com.ph</a></b>	
COMPLETE PRINCIPAL OFFICE ADDRESS:	E-MAIL ADDRESS:	
<b>Penthouse Bldg. 3, Sta. Lucia Mall, Marcos Highway cor. Imelda Avenue, Cainta, Rizal</b>	<b><a href="mailto:info@stalucialand.com.ph">info@stalucialand.com.ph</a></b>	
COMPLETE BUSINESS ADDRESS:	FAX NUMBER:	
<b>Penthouse Bldg. 3, Sta. Lucia Mall, Marcos Highway cor. Imelda Avenue, Cainta, Rizal</b>	<b>8681-7467</b>	
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER:	SEC ACCREDITATION NUMBER (if applicable)	TELEPHONE NUMBER(S):
<b>Sycip Gorres &amp; Velayo (SGV &amp; Co.)/ Michael C. Sabado</b>	<b>0664-AR-4 (Group A)</b>	<b>8681-7332</b>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:	INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:
<b>Real Estate Company</b>	<b>Real Estate</b>	<b>N/A</b>
=====INTERCOMPANY AFFILIATIONS=====		
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
<b>Sta. Lucia Realty &amp; Development, Inc.</b>	<b>44710</b>	<b>G/F State Financing Center Building Ortigas Center, Pasig City, Metro Manila</b>
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
<b>Sta. Lucia Homes, Inc.</b>	<b>CS201301975</b>	<b>Penthouse Bldg. 3, Sta. Lucia Mall, Marcos Highway cor. Imelda Avenue, Cainta, Rizal</b>
<b>Sta. Lucia Ventures, Inc.</b>	<b>CS201305924</b>	<b>Penthouse Bldg. 3, Sta. Lucia Mall, Marcos Highway cor. Imelda Avenue, Cainta, Rizal</b>
NOTE : USE ADDITIONAL SHEET IF NECESSARY.		



# GENERAL INFORMATION SHEET

## STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

Corporate Name:

**STA. LUCIA LAND, INC.**

**A. Is the Corporation a covered person under the Anti-Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**

Yes

☐

No

☒

**Please check the appropriate box:**

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Association</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special laws are subject to Bangko Sentral ng Pilipinas (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-Need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stones</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investments Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based thereon</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> <input type="checkbox"/> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing registered office, business address or accommodation, correspondence or administrative address for company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p><b>7.</b> <input type="checkbox"/> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p><b>8.</b> <input type="checkbox"/> None of the above</p>
<p><b>Described nature of business:</b></p>	<p style="text-align: center;"><b>Real Estate</b></p>

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLS, as amended, since the last filing of its GIS?**

Yes

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No

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# GENERAL INFORMATION SHEET

## STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:							
<b>STA. LUCIA LAND, INC.</b>							
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares x Par/Stated Value)			
	Common	16,000,000,000	P1.00	16,000,000,000.00			
TOTAL		16,000,000,000	TOTAL P	16,000,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
	263	Common	8,193,639,999		P1.00	8,193,639,999.00	99.97%
TOTAL		8,193,639,999	TOTAL P		8,193,639,999.00	99.97%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
	1	Common	2,810,001		P1.00	2,810,001.00	0.03%
Percentage of Foreign Equity: TOTAL		2,810,001	TOTAL P		2,810,001.00	0.03%	
TOTAL SUBSCRIBED P					8,196,450,000.00	100%	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP	
	263	Common	8,193,639,999	P1.00	8,193,639,999.00	99.97%	
TOTAL		8,193,639,999	TOTAL P		8,193,639,999.00	99.97%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP	
	1	Common	2,810,001	P1.00	2,810,001.00	0.03%	
TOTAL		2,810,001	TOTAL P		2,810,001.00	0.03%	
TOTAL PAID-UP P					8,196,450,000.00	100%	
NOTE: USE ADDITIONAL SHEET IF NECESSARY							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							



# GENERAL INFORMATION SHEET

## (STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====

<b>CORPORATE NAME:</b>								
<b>STA. LUCIA LAND, INC.</b>								
<b>DIRECTORS/OFFICERS</b>								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. <b>VICENTE R. SANTOS</b> Filipino J9-310 Evangelista Street, Santolan Pasig City, Metro Manila	Filipino	N	C	M	Y	Chairman	E/M A/M CG/M BRO/M RPT/M	155-810-416
2. <b>EXEQUIEL D. ROBLES</b> Filipino F. Pasco Avenue, Dumandan Compound Santolan, Pasig City Metro Manila	Filipino	N	M	M	Y	President	E/C	139-154-445
3. <b>DAVID M. DELA CRUZ</b> Filipino # 31 La Naval Street, Remmanville Subdivision Better Living Parañaque City	Filipino	N	N	M	N	Executive Vice President/ CFO/ Chief Risk Officer		110-183-747
4. <b>MARIZA SANTOS-TAN</b> Filipino G/F State Centre II, Ortigas Avenue Mandaluyong City, Metro Manila	Filipino	N	M	F	Y	Treasurer	E/M	139-154-574
5. <b>AURORA D. ROBLES</b> Filipino The Alexandra Condominiums Meralco Avenue Pasig City, Metro Manila	Filipino	N	M	F	Y	Assistant Treasurer	E/M	139-154-639
6. <b>ANTONIO D. ROBLES</b> Filipino # 53 Achilles corner Odyssey Street, Acropolis Green Subdivision Libis, Quezon City, Metro Manila	Filipino	N	M	M	Y	N/A	E/M	139-154-420
7. <b>SIMEON S. CUA</b> Filipino 1765 P.M. Guazon St. Paco, Manila 1007	Filipino	N	M	M	Y	N/A		100-821-526
8. <b>JOSE FERDINAND R. GUIANG</b> Filipino Unit 4 Cornhill Villas, Kaimito Avenue, Town & Country Executive Village, Antipolo	Filipino	N	I	M	Y	Independent Director	A/M CG/C BRO/M RPT/C	100-242-310
9. <b>OSMUNDO C. DE GUZMAN, JR.</b> Filipino 43 Walnut St., New Marikina Subdivision, San Roque Marikina City	Filipino	N	I	M	Y	Independent Director	A/C CG/M BRO/C RPT/M	130-857-709
10. <b>ORESTES R. SANTOS</b> Filipino Odyssey Street, Acropolis, Quezon City, Metro Manila	Filipino	N	M	M	Y	N/A		139-154-487
11. <b>JEREMIAH T. PAMPOLINA</b> Filipino 67C J.P. Rizal Street, Project 4 Quezon City	Filipino	N	N	M	N	Chief Compliance Officer		204-009-034
12. <b>ACE FRANZIZ D. CUNTAPAY</b> Filipino Unit 3020, GA Tower 2, EDSA Mandaluyong City	Filipino	N	N	M	N	Internal Auditor/ Data Protection Officer		453-531-113
<b>INSTRUCTIONS:</b> FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

# GENERAL INFORMATION SHEET

## (STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====

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# GENERAL INFORMATION SHEET

## STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====						
CORPORATE NAME: <b>STA. LUCIA LAND, INC.</b>						
TOTAL NUMBER OF STOCKHOLDERS: <b>Two Hundred Sixty-four (264)</b>			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: <b>Two Hundred Fifty-five (255)</b>			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: <b>PhP40,352,296,104.00 (as of 31 December 2019)</b>						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			% OF OWNERSHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)			
1. <b>STA. LUCIA REALTY &amp; DEVELOPMENT, INC.</b> <b>Filipino</b> G/F State Financing Center Building Ortigas Center, Pasig City	Common	6,701,005,767	6,701,005,767.00	81.75%	6,701,005,767.00	000-863-895
	TOTAL	6,701,005,767	6,701,005,767.00			
2. <b>PCD NOMINEE CORPORATION</b> <b>Filipino</b> G/F MKSE Building, 6767 Ayala Ave. Makati City, Metro Manila	Common	1,464,374,606	1,464,374,606.00	17.86%	1,464,374,606.00	004-774-849
	TOTAL	1,464,374,606	1,464,374,606.00			
3. <b>BERNARD D. LUGOD</b> <b>Filipino</b> 102 Marcos Highway, Brgy. Mayamot Antipolo City	Common	10,000,000	10,000,000.00	0.122%	10,000,000.00	156-140-631
	TOTAL	10,000,000	10,000,000.00			
4. <b>THOMAS EDWIN M. DELA CRUZ</b> <b>Filipino</b> 1711 Parc Royale Julia Vargas Ave. Ortigas Center, Pasig City, Metro Manila	Common	10,000,000	10,000,000.00	0.122%	10,000,000.00	926-342-282
	TOTAL	10,000,000	10,000,000.00			
5. <b>CITISECURITIES, INC.</b> <b>Filipino</b> 27/F Tektit Tower I, Exchange Road Pasig City, Metro Manila	Common	3,250,000	3,250,000.00	0.039%	3,250,000.00	000-322-268
	TOTAL	3,250,000	3,250,000.00			
6. <b>PCD NOMINEE CORPORATION</b> <b>Non-Filipino</b> G/F MKSE Building, 6767 Ayala Ave. Makati City, Metro Manila	Common	2,810,001	2,810,001.00	0.034%	2,810,001.00	004-774-849
	TOTAL	2,810,001	2,810,001.00			
7. <b>EBE CAPITAL HOLDINGS, INC.</b> <b>Filipino</b> 18/F Pearlbank Center, Valero Street, Salcedo Village Makati City, Metro Manila	Common	1,535,000	1,535,000.00	0.0187%	1,535,000.00	004-663-735
	TOTAL	1,535,000	1,535,000.00			
8. <b>EXEQUIEL D. ROBLES</b> <b>Filipino</b> F. Pasco Avenue, Dumandan Compound Santolan, Pasig City Metro Manila	Common	712,500	712,500.00	0.0087%	712,500.00	139-154-445
	TOTAL	712,500	712,500.00			
9. <b>VICENTE R. SANTOS</b> <b>Filipino</b> J9-310 Evangelista Street, Santolan Pasig City, Metro Manila	Common	712,494	712,494.00	0.0087%	712,494.00	155-810-416
	TOTAL	712,494	712,494.00			
10. <b>JULIE H. LIMTONG</b> <b>Filipino</b> 2356 J. A. Santos Drive, Baclaran Parañaque City, Metro Manila	Common	400,000	400,000.00	0.0049%	400,000.00	200-306-569
	TOTAL	400,000	400,000.00			
11. <b>FRANCISCO ORTIGAS SEC., INC.</b> <b>Filipino</b> 10 <sup>TH</sup> Floor, Ortigas Building, Ortigas Avenue Pasig City, Metro Manila	Common	365,000	365,000.00	0.0045%	365,000.00	283-304-000
	TOTAL	365,000	365,000.00			
SUB-TOTAL AMOUNT OF SUBSCRIBED CAPITAL			8,195,165,368.00	99.969%		
			SUB-TOTAL AMOUNT OF PAID-UP CAPITAL		8,195,165,368.00	
INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.						



# GENERAL INFORMATION SHEET

## STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====						
CORPORATE NAME: <b>STA. LUCIA LAND, INC.</b>						
TOTAL NUMBER OF STOCKHOLDERS: <b>Two Hundred Sixty-four (264)</b>			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: <b>Two Hundred Fifty-five (255)</b>			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: <b>PhP40,352,296,104.00 (as of 31 December 2019)</b>						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			% OF OWNER-SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)			
12. <b>PEDRO O. TAN</b> Filipino 8120 Lumbayao Street Makati City, Metro Manila	Common	278,050	278,050.00	0.0034%	278,050.00	127-189-392
	TOTAL	278,050	278,050.00			
13. <b>ASA COLOR &amp; CHEMICAL INDUSTRIES, INC.</b> Filipino S-309 Prince Jun Condominium 42 Timog Avenue	Common	182,774	182,774.00	0.0022%	182,774.00	301-000-280-895
	TOTAL	182,774	182,774.00			
14. <b>G &amp; L SECURITIES CO., INC.</b> Filipino 803 State Financing Center, Ortigas Avenue 1550 Mandaluyong City	Common	70,000	70,000.00	0.0006%	70,000.00	000-055-839
	TOTAL	70,000	70,000.00			
15. <b>AMBROSIO VALDEZ &amp;/OR FELISA VALDEZ</b> Filipino Patts College of Aeronautics Domestic Airport Road, Pasay City	Common	50,000	50,000.00	0.0006%	50,000.00	148-077-825 148-077-833
	TOTAL	50,000	50,000.00			
16. <b>ANTHONY FRANCIS H. LIMTONG</b> Filipino 2356 J. A. Santos Drive, Baclaran Parañaque City, Metro Manila	Common	40,000	40,000.00	0.0005%	40,000.00	175-308-409
	TOTAL	40,000	40,000.00			
17. <b>GAIL MAUREEN H. LIMTONG</b> Filipino 2356 J. A. Santos Drive, Baclaran Parañaque City, Metro Manila	Common	40,000	40,000.00	0.0005%	40,000.00	xx
	TOTAL	40,000	40,000.00			
18. <b>HARRY JAMES H. LIMTONG</b> Filipino 2356 J. A. Santos Drive, Baclaran Parañaque City, Metro Manila	Common	40,000	40,000.00	0.0005%	40,000.00	xx
	TOTAL	40,000	40,000.00			
19. <b>JOHN PATRICK H. LIMTONG</b> Filipino 2356 J. A. Santos Drive, Baclaran Parañaque City, Metro Manila	Common	40,000	40,000.00	0.0005%	40,000.00	xx
	TOTAL	40,000	40,000.00			
20. <b>JULIE ANN KRISHA H. LIMTONG</b> Filipino 2356 J. A. Santos Drive, Baclaran Parañaque City, Metro Manila	Common	40,000	40,000.00	0.0005%	40,000.00	xx
	TOTAL	40,000	40,000.00			
21. <b>SUN HUNG KAI SECURITIES (PHILS.), INC.</b> Filipino c/o Keppel Sec. Phils. Inc., 9/F, Country Space Bldg. Sen Gil Puyat Ave., 1209 Makati City	Common	30,000	30,000.00	0.0004%	30,000.00	000-170-152
	TOTAL	30,000	30,000.00			
22. <b>OTHERS</b>	Common	473,808	473,808.00	0.0058%	473,808.00	N/A
	TOTAL	473,808	473,808.00			
SUB-TOTAL AMOUNT OF SUBSCRIBED CAPITAL			1,284,632.00	0.0146%		
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			8,196,450,000.00	100%		
SUB-TOTAL AMOUNT OF PAID-UP CAPITAL					1,284,632.00	
TOTAL AMOUNT OF PAID-UP CAPITAL					8,196,450,000.00	
INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.						

## GENERAL INFORMATION SHEET (STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====				
CORPORATE NAME:				
<b>STA. LUCIA LAND, INC.</b>				
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION		
1.1 STOCKS	N/A	N/A		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	N/A		
1.3 LOANS/CREDITS/ADVANCES	N/A	N/A		
1.4 GOVERNMENT TREASURY BILLS	N/A	N/A		
1.5 OTHERS				
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY):	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS' RATIFICATION		
	N/A	N/A		
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED		
	2,600,000,000	24.08%		
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: <b>PhP4,745,110,000.00</b>				
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR : <b>N/A</b>				
TYPE OF DIVIDENDS	AMOUNT (PhP)	DATE DECLARED		
5.1 CASH	N/A	N/A		
5.2 STOCK	N/A	N/A		
5.3 PROPERTY	N/A	N/A		
TOTAL P				
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:				
DATE	NO. OF SHARES	AMOUNT		
N/A	N/A	N/A		
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:				
NAME OF AGENCY:	SEC	BSP	IC	
TYPE OF LICENSE/REGN.	Permit to Offer Securities for Sale	N/A	N/A	
DATE ISSUED:	19 July 1974 and 30 August 1991	N/A	N/A	
DATE STARTED OPERATIONS:	06 December 1966	N/A	N/A	
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT	
PhP15,889,868.00	19	137	156	
NOTE: USE ADDITIONAL SHEET IF NECESSARY				



I, **PATRICIA A. O. BUNYE**, Corporate Secretary of **STA. LUCIA LAND, INC.**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).


Done this 24 AUG 2020 in Taguig, Metro Manila.

  
**PATRICIA A. O. BUNYE**

**SUBSCRIBED AND SWORN TO** before me this 24 AUG 2020 in Taguig, Metro Manila, affiant exhibiting and presenting to me competent evidence of her identity, Unified Multi-Purpose ID No. CRN 0111-7602634-4, bearing her photograph and signature, issued by the Social Security System.

Doc. No. 114 ;  
Page No. 24 ;  
Book No. I ;  
Series of 2020.



  
**MICHELLE AIMEE LYN O. TAN**  
Notary Public  
Taguig City, Appointment No. 24 (2020-2021)  
Until December 31, 2021, Roll No. 71524  
PTR No. A-4688842 - 01/03/20 - Taguig City  
IBP No. 100249 - 01/02/20 - Quezon City Chapter  
MCLE Compliance No. VI-0020025 - 03/18/19  
11th Floor, One Orion, 11th Avenue corner  
University Parkway, Bonifacio Global City  
Taguig City, Metro Manila, Philippines

## BENEFICIAL OWNERSHIP DECLARATION

**SEC REGISTRATION NUMBER: 31050**  
**CORPORATE NAME: STA. LUCIA LAND, INC.**

### Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

### Category

### Description

- |          |   |
|----------|---|
| <b>A</b> | Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.   |
| <b>B</b> | Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.   |
| <b>C</b> | Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.   |
| <b>D</b> | Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.  |
| <b>E</b> | Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.   |
| <b>F</b> | Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).   |
| <b>G</b> | Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.  |
| <b>H</b> | Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.   |
| <b>I</b> | Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion. |

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e. Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup>  Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
ROBLES, EXEQUIEL DUMANDAN	F. Pasco Ave., Dumandan, Santolan, Pasig City	Filipino	10-Apr-55	139-154-445	0.01%	D	B, I – President/ Director
SANTOS, VICENTE ROBLES	J9-310 Evangelista Street, Santolan, Pasig City	Filipino	5-Apr-57	155-810-416	0.01%	D	B, I – Chairman

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.



TAN, MARIZA SANTOS	G/F State Centre II, Ortigas Avenue Mandaluyong City, Metro Manila	Filipino	29-May-58	139-154-574	0%	D	B, I – Treasurer/ Director
SANTOS, ORESTES ROBLES	Odyssey St., Acropolis, Libis, Quezon City	Filipino	13-Dec-61	139-154-487	0%	D	B, I - Director
ROBLES, ANTONIO DUMANDAN	#53 Achilles corner Odyssey Street, Acropolis Green Subdivision Libis, Quezon City, Metro Manila	Filipino	14-Jun-64	139-154-420	0%	D	B, I - Director
ROBLES, AURORA DUMANDAN	The Alexandra Condominiums Meralco Avenue Pasig City, Metro Manila	Filipino	12-Nov-66	139-154-639	0%	D	B, I – Assistant Treasurer/ Director
JOSEPH, EXALTACION ROBLES	31 F. Pasco Ave., Dumandan, Santolam, Pasig City	Filipino	14-Sep-60	116-368-436	0%	I	B
ROBLES, LIBERATO DUMANDAN	31 F. Pasco Ave., Dumandan, Santolam, Pasig City	Filipino	20-Dec-56	139-154-438	0%	I	B
SANTOS, FELIZARDO ROBLES	72 Evangelista Street, Santolan, Pasig City	Filipino	4-Feb-66	139-154-461	0%	I	B
SANTOS, LEODEGARIO ROBLES	15 Sta. Lucia Subdivision, Pasig City	Filipino	10-Oct-63	139-154-479	0%	I	B
ROBLES, DOMINGA DUMANDAN	81 Evangelista Street, Santolan, Pasig City	Filipino	28-Jun-31	193-050-870	0%	I	B
ROBLES, IGMIDIO DUMANDAN	31 F. Pasco Ave., Dumandan, Santolam, Pasig City	Filipino	5-Aug-59	155-810-394	0%	I	B
ROBLES, ROBERTO DUMANDAN	31 F. Pasco Ave., Dumandan, Santolam, Pasig City	Filipino	27-Mar-63	116-368-365	0%	I	B
ANDRES, ANDREA ROBLES	81 Evangelista Street, Santolan, Pasig City	Filipino	30-Nov-69	104-702-709	0%	I	B

\* SLRDI holds 81.75% of the outstanding capital of the Corporation.

**Note: This page is not for uploading on the SEC iView**